IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Martin et al.	8
in to application of . Mai the ot all	§ Group Art Unit: Not Assigned
Serial No.: Not Assigned	§
-	§ Examiner: Not Assigned
Filed:	§
	§ Attorney Docket No.: 2001-057-SFT
For: Data Management Appliance	§

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R 1.97

Hon. Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 19-4545. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 19-4545. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

Respectfully submitted,

Date: December 28, 2001

Wayne P. Bailey

Registration No. 34,289

STORAGE TECHNOLOGY CORPORATION

One StorageTek Drive

Louisville, Colorado 80028-4309

Telephone: (303) 673-8223

Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)			IT	ATTORNEY DOCKET NO. 2001-057-SFT	SERIAL NO. Not Assigned	U.S. PTO 034323
				APPLICANT Martin et al.		
				FILING DATE	GROUP ART UNIT	Not Assigned
2.0	80.		U	J.S. PATENT DOCUMENTS		4
EXAMINER INITIAL		DOCUMENT DATE NO.		INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA	5,086,502	Feb. 4, 1992	Malcolm	395/575	Nov. 13, 1989
	AB	6,158,019	Dec. 5, 2000	Squibb	714/13	Nov. 14, 1997
	<u> </u>					
	1 5.33	·	FOR	REIGN PATENT DOCUMENTS		
EXAMINER INITIAL		DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	<u> </u>	<u>.</u>				
	<u> </u>					
		ОТ	HER PRIOR ART	(including author, title, date, pertine	nt page, etc.)	
DATE CONSI	DERE	D		EXAMINER		
EXAMINER if not in con	t: Init forma	ial if reference c ance and not cor	onsidered, whethe nsidered. Include	r or not citation is in conformance w copy of this form with next communi	rith MPEP § 609; draw lir ication to applicant.	ne through citation